

## ATTACHMENT 5

### Overall DBE Three-Year Goal Methodology

**Name of Recipient:** Erie Ottawa International Airport

**Goal Period:** FY-2020-2021-2022 – October 1, 2019 through September 30, 2022

<b>DOT-assisted contract amount:</b>	FY-2020	\$ 669,600
	FY-2021	\$ N/A
	FY-2022	<u>\$2,557,980</u>
	<b>Total</b>	<b>\$3,227,580</b>

**Overall Three-Year Goal:** 1.81%, to be accomplished through 1.81% RC and 0.00% RN

**Total dollar amount to be expended on DBEs:** \$58,419

#### **Describe the Number and Type of Contracts that the airport anticipates awarding:**

Contracts Fiscal Year #1

1. Custom Border Patrol Building (Reimbursement) - \$669,600

Contracts Fiscal Year #2

1. Apron Expansion and Rehabilitate Taxilanes and Apron (Design) - \$213,300  
**\*Goal is not required as funding anticipated is under \$250,000**

Contracts Fiscal Year #3

1. Apron Expansion and Rehabilitate Taxilanes and Apron (Construction) - \$2,557,980

**Market Area:** The market area is identified as the area in which the substantial majority of the Airport's contractors and subcontractors that seek to do business with the Airport are located **and** the area in which the Airport spends the substantial majority of its contracting dollars. The market area for Erie Ottawa International Airport is Ottawa, Wood, Sandusky, Erie and Lucas Counties.

#### **Step 1. Actual relative availability of DBEs**

The base figure for the relative availability was calculated as follows:

Method: Use DBE Directories <http://www.dot.state.oh.us/Divisions/ODI/SDBE/Pages/DBE-Directory.aspx> and Census Bureau Data from <https://factfinder.census.gov/faces/nav/jsf/pages/searchresults.xhtml?refresh=t>.

Weighted Availability of DBE firms:

Fiscal Year #1

For FY-2020, award of the following is anticipated:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	DBE Director y	DBE (%)	DBE (\$)
Contract #1 Custom Border Patrol Building (Reimbursement )	Airport Building Contractor, Terminals, Hangars, SRE, ARFF, etc.	Airport Building Construction	236220	\$540,000	58	0	0.00%	\$0
	Airfield Safety and Traffic Control & Signage	Highway, Street and Bridge Construction	237310	\$27,000	13	3	23.08%	\$6,231
	Electrical Contractors,	Electrical Contractor	238210	\$27,000	111	1	0.90%	\$243
	Mechanical / Plumbing Contractors & Systems	PLBG & HVAC Contractor	238220	\$27,000	203	1	0.49%	\$133
	Excavation, Site Prep., Backfilling	Site Preparation Contractors	238910	\$27,000	69	3	4.35%	\$1,174
	Material Suppliers	Material Suppliers	423320	\$10,800	2	0	0.00%	\$0
	Trucking Services	General Freight Trucking	484110	\$10,800	63	1	1.59%	\$171
<b>Total Contract</b>				<b>\$669,600</b>	<b>519</b>	<b>9</b>	<b>1.73%</b>	<b>11,612</b>
<b>Total FY-2020</b>				<b>\$669,600</b>	<b>519</b>	<b>9</b>	<b>1.73%</b>	<b>11,612</b>

Fiscal Year #2

For FY-2021, award of the following is anticipated:

No goal is required as funding is under \$250,000.

Fiscal Year #3

For FY-2022, award of the following is anticipated:

Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	DBE Director y	DBE (%)	DBE (\$)
Contract #1 Apron Expansion and Rehabilitate Taxilanes and Apron - Construction	Airport Pavement, Milling, Surface Prep, Markings, Resurfacing	Highway, Street and Bridge Construction	237310	\$918,000	13	3	23.08%	\$211,846
	Electrical Contractors, Lighting, Conduit, Wind Cone, control systems	Electrical Contractors	238210	\$360,000	111	1	0.90%	\$3,243
	Excavation, Site Prep., Clearing, Grading,	Site Preparation	238910	\$450,000	69	3	4.35%	\$19,565

	Compaction, Backfilling							
	Material Suppliers	Construction Material Suppliers	423320	\$270,000	2	0	0.00%	\$0
	Construction Engineering & Administration Services	Engineering Services	541330	\$463,500	108	3	2.78%	\$12,875
	Landscaping Services - Seeding, Mulching, Topsoiling	Landscaping Services	561730	\$67,500	249	0	0.00%	\$0
	Flagging, traffic control, other services	All Other Support Services	561990	\$28,980	32	1	3.13%	\$906
<b>Total Contract</b>				<b>\$2,557,980</b>	<b>584</b>	<b>11</b>	<b>1.88%</b>	<b>\$48,181</b>
<b>Total FY-2022</b>				<b>\$2,557,980</b>	<b>584</b>	<b>11</b>	<b>1.88%</b>	<b>\$48,181</b>

The base goal projection after weighting is as follows:

- Total Weighted DBE Availability: 20
- Total for All Trades: 1103

Dividing the weighted DBE totals by the total estimate for all trades gives a base DBE availability figure for the projects anticipated during the goal-setting period. This figure is expressed as a percentage and serves as the basis for the three-year overall goal.

Base of DBE Goal: 1.81%

**Step 2: Adjustments to Step 1 base figure**

Not enough historical data on DBE participation is available to reference to make an adjustment to the Step 1 base figure; therefore, Erie Ottawa International Airport is adopting the Step 1 base figure as the overall goal for this three-year goal period.

Furthermore, there are no applicable disparity studies for the local market area or recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

**Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation.**

Erie Ottawa International Airport will meet the maximum feasible portion of the overall goal by using RN means of facilitating DBE participation.

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation;

2. Carrying out information and communications programs on contracting procedures and specific contract opportunities;
3. Referring DBE's to local supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;
4. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors;
5. Provide instructions and clarification on bid specifications, general bidding requirements, and procurement policies and procedures;
6. Discuss the DBE goal and DBE requirements at Pre-bid meetings to encourage prime contractors to solicit DBE firms in subcontracting opportunities.

Erie Ottawa International Airport estimates that in meeting the established overall goal of 1.81%, it will obtain 0.00% from RN participation and 1.81% through RC measures.

The Recipient does not have a history of DBE participation or over-achievement of goals to reference and expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. Therefore, the entire goal of 1.81% is to be obtained through race-conscious participation.

Erie Ottawa International Airport will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation [see §26.51(f)] and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

## PUBLIC PARTICIPATION

### **Consultation:**

In establishing the overall goal, Erie Ottawa International Airport provided for consultation and publication. The airport invited the Ohio Department of Transportation Office of Small & Disadvantaged Business Enterprise, Central Ohio Minority Business Association, Ohio Department of Development Minority Business Enterprise Division, Airport Minority Advisory Council, The National Minority Business Council and the Ohio Chamber of Commerce which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the airports efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process, and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in was a video conference, which was held on June 27, 2019 at 9:30 a.m.

The following comments were received during the course of the consultation:

No comments were received.

A notice of the proposed goal was published on the Erie Ottawa International Airport's official website before the methodology was submitted to FAA Office of Civil Rights.

If the proposed goal changes following review by FAA Office of Civil Rights, the revised goal will be posted on the airport's official website.

Notwithstanding paragraph (f)(4) of §26.45, Erie Ottawa International Airport's proposed goals will not be implemented until this requirement has been met.

### **PUBLIC NOTICE**

Erie Ottawa International Airport hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of 1.81% for FAA-funded contracts/agreements. The proposed goal pertains to federal fiscal years 2020 through 2022.

Comments on the DBE goal will be accepted for 30 days from the date of this publication and can be sent to the following:

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